



Electronic Filing System (EFS) Data

Electronic Patent Application Submission

USPTO Use Only

EFS ID: 59486
Application ID: 10608404
Title of Invention: METHOD AND COMPOSITION
FOR POLISHING A SUBSTRATE
First Named Inventor: FENG LIU
Domestic/Foreign Application: Domestic Application
Filing Date: 2003-06-26
Effective Receipt Date: 2004-04-22
Submission Type: Information Disclosure
Statement
Filing Type:
Confirmation number: 7966
Attorney Docket Number: AMAT5699P3
Total Fees Authorized: 180.0
Payment Category: Deposit Account
Deposit Account Number: 200782
Deposit Account Name: BRIAN K. HRNA
Access Code: ****
RAM Payment Status: RAM success
RAM User ID: EFSPROD
RAM Accounting Date: 2004-04-22
RAM Sequence Number: 9




Digital Certificate Holder: cn=Brian K. Hrna,ou=Registered Attorneys,ou=Patent and Trademark
Office,ou=Department of Commerce,o=U.S. Government,c=US
Certificate Message Digest: ddee75a8747693f078049f21b9fb39ac2095df80



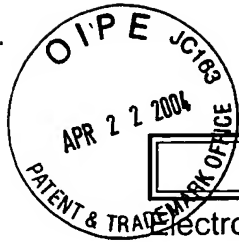
TRANSMITTAL

Electronic Version v1.1

Stylesheet Version v1.1.0

Title of Invention	METHOD AND COMPOSITION FOR POLISHING A SUBSTRATE							
Application Number: 10/608404 								
Date: 2003-06-26								
First Named Applicant: FENG Q. LIU								
Confirmation Number: 7966								
Attorney Docket Number: AMAT5699P3								
<p>I hereby certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.</p> <p>I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.</p>								
<table border="1"><thead><tr><th>Submitted by:</th><th>Elec. Sign.</th><th>Sign. Capacity</th></tr></thead><tbody><tr><td>BRIAN K. HRNA Registered Number: 41,852</td><td>[BRIAN K. HRNA]</td><td>Attorney</td></tr></tbody></table>			Submitted by:	Elec. Sign.	Sign. Capacity	BRIAN K. HRNA Registered Number: 41,852	[BRIAN K. HRNA]	Attorney
Submitted by:	Elec. Sign.	Sign. Capacity						
BRIAN K. HRNA Registered Number: 41,852	[BRIAN K. HRNA]	Attorney						
<table><thead><tr><th>Documents being submitted</th><th>Files</th></tr></thead><tbody><tr><td>us-fee-sheet</td><td>AMAT5699P3BKH-usfees.xml us-fee-sheet.xsl us-fee-sheet.dtd</td></tr><tr><td>us-ids</td><td>AMAT5699P3BKH-usidst.xml us-ids.dtd us-ids.xsl</td></tr></tbody></table>			Documents being submitted	Files	us-fee-sheet	AMAT5699P3BKH-usfees.xml us-fee-sheet.xsl us-fee-sheet.dtd	us-ids	AMAT5699P3BKH-usidst.xml us-ids.dtd us-ids.xsl
Documents being submitted	Files							
us-fee-sheet	AMAT5699P3BKH-usfees.xml us-fee-sheet.xsl us-fee-sheet.dtd							
us-ids	AMAT5699P3BKH-usidst.xml us-ids.dtd us-ids.xsl							

Comments

**FEE TRANSMITTAL**


Electronic Version v08

Stylesheet Version v08.0

Title of Invention	METHOD AND COMPOSITION FOR POLISHING A SUBSTRATE										
Application Number: 10/608404 											
Date: 2003-06-26											
First Named Applicant: FENG Q. LIU											
Attorney Docket Number: AMAT5699P3											
Art Unit: 1765											
TOTAL FEE AUTHORIZED \$180											
Patent fees are subject to annual revisions on or about October 1st of each year.											
BASIC FILING FEE											
<table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>				Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$								
Submission Of Information Disclosure Stmt Fee	1806	180	180								
AUTHORIZED BILLING INFORMATION											
The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:											
Deposit account number: 200782											
Access Code *****											
Deposit name: MOSER PATTERSON SHERIDAN											
Deposit authorized name: BRIAN K. HRNA											
Signature: /BRIAN K. HRNA/											
Date (YYYYMMDD): 2004-04-22											

**FEE TRANSMITTAL**

Electronic Version v08
Stylesheet Version v08.0

Title of Invention	METHOD AND COMPOSITION FOR POLISHING A SUBSTRATE										
Application Number: 10/608404 											
Date: 2003-06-26											
First Named Applicant: FENG Q. LIU											
Attorney Docket Number: AMAT5699P3											
Art Unit: 1765											
TOTAL FEE AUTHORIZED \$180											
Patent fees are subject to annual revisions on or about October 1st of each year.											
BASIC FILING FEE											
<table border="1"><thead><tr><th>Fee Description</th><th>Fee Code</th><th>Amount \$</th><th>Fee Paid \$</th></tr></thead><tbody><tr><td>Submission Of Information Disclosure Stmt Fee</td><td>1806</td><td>180</td><td>180</td></tr></tbody></table>				Fee Description	Fee Code	Amount \$	Fee Paid \$	Submission Of Information Disclosure Stmt Fee	1806	180	180
Fee Description	Fee Code	Amount \$	Fee Paid \$								
Submission Of Information Disclosure Stmt Fee	1806	180	180								
AUTHORIZED BILLING INFORMATION											
The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:											
Deposit account number: 200782											
Access Code *****											
Deposit name: MOSER PATTERSON SHERIDAN											
Deposit authorized name: BRIAN K. HRNA											
Signature: /BRIAN K. HRNA/											
Date (YYYYMMDD): 2004-04-22											



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	METHOD AND COMPOSITION FOR POLISHING A SUBSTRATE																																																																																																		
<div>Application Number: 10/608404</div> <div>Confirmation Number: 7966</div> <div>First Named Applicant: FENG LIU</div> <div>Attorney Docket Number: AMAT5699P3</div> <div>Art Unit: 1765</div> <div>Search string: (6693036 or 6679929 or 6679928 or 6676484 or 6620215 or 6616976 or 6605537 or 6602112 or 6596638 or 6593239 or 6565619 or 6562719 or 6551935 or 6508952 or 6455479 or 6454819 or 6447371 or 6440186 or 6429133 or 6428721 or 6419554 or 6375693 or 6315883 or 6315803 or 6310019 or 6303551 or 6303049 or 6273786 or 6258721 or 6258711 or 6248222 or 6238592 or 6206756 or 6176992 or 6171352 or 6117783 or 6103096 or 6099604 or 6083840 or 6063306 or 6066030).pn.</div> <div>US Patent Documents</div> <div>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</div> <table border="1"><thead><tr><th>init</th><th>Cite.No.</th><th>Patent No.</th><th>Date</th><th>Patentee</th><th>Kind</th><th>Class</th><th>Subclass</th></tr></thead><tbody><tr><td></td><td>1</td><td>6693036</td><td>2004-02-17</td><td>Nogami, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>2</td><td>6679929</td><td>2004-01-20</td><td>Asano, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>3</td><td>6679928</td><td>2004-01-20</td><td>Costas, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>4</td><td>6676484</td><td>2004-01-13</td><td>Chopra</td><td></td><td></td><td></td></tr><tr><td></td><td>5</td><td>6620215</td><td>2003-09-16</td><td>Li, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>6</td><td>6616976</td><td>2003-09-09</td><td>Montano, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>7</td><td>6605537</td><td>2003-08-12</td><td>Bian, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>8</td><td>6602112</td><td>2003-08-05</td><td>Tran, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>9</td><td>6596638</td><td>2003-07-22</td><td>Kondo, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>10</td><td>6593239</td><td>2003-07-15</td><td>Kaufman, et al.</td><td></td><td></td><td></td></tr><tr><td></td><td>11</td><td>6565619</td><td>2003-05-20</td><td>Asano, et al.</td><td></td><td></td><td></td></tr></tbody></table>				init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass		1	6693036	2004-02-17	Nogami, et al.					2	6679929	2004-01-20	Asano, et al.					3	6679928	2004-01-20	Costas, et al.					4	6676484	2004-01-13	Chopra					5	6620215	2003-09-16	Li, et al.					6	6616976	2003-09-09	Montano, et al.					7	6605537	2003-08-12	Bian, et al.					8	6602112	2003-08-05	Tran, et al.					9	6596638	2003-07-22	Kondo, et al.					10	6593239	2003-07-15	Kaufman, et al.					11	6565619	2003-05-20	Asano, et al.			
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																																																																																												
	1	6693036	2004-02-17	Nogami, et al.																																																																																															
	2	6679929	2004-01-20	Asano, et al.																																																																																															
	3	6679928	2004-01-20	Costas, et al.																																																																																															
	4	6676484	2004-01-13	Chopra																																																																																															
	5	6620215	2003-09-16	Li, et al.																																																																																															
	6	6616976	2003-09-09	Montano, et al.																																																																																															
	7	6605537	2003-08-12	Bian, et al.																																																																																															
	8	6602112	2003-08-05	Tran, et al.																																																																																															
	9	6596638	2003-07-22	Kondo, et al.																																																																																															
	10	6593239	2003-07-15	Kaufman, et al.																																																																																															
	11	6565619	2003-05-20	Asano, et al.																																																																																															

	12	6562719	2003-05-13	Kondo, et al.
	13	6551935	2003-04-22	Sinha, et al.
	14	6508952	2003-01-21	Lee, et al.
	15	6455479	2002-09-24	Sahbari
	16	6454819	2002-09-24	Yano, et al.
	17	6447371	2002-09-10	Brusic Kaufman, et al.
	18	6440186	2002-08-27	Sakai, et al.
	19	6429133	2002-08-06	Chopra
	20	6428721	2002-08-06	Ina, et al.
	21	6419554	2002-07-16	Chopra, et al.
	22	6375693	2002-04-23	Cote, et al.
	23	6315883	2001-11-13	Mayer, et al.
	24	6315803	2001-11-13	Ina, et al.
	25	6310019	2001-10-30	Kakizawa, et al.
	26	6303551	2001-10-16	Li, et al.
	27	6303049	2001-10-16	Lee, et al.
	28	6273786	2001-08-14	Chopra, et al.
	29	6258721	2001-07-10	Li, et al.
	30	6258711	2001-07-10	Laursen, et al.
	31	6248222	2001-06-19	Wang
	32	6238592	2001-05-29	Hardy, et al.
	33	6206756	2001-03-27	Chopra, et al.
	34	6176992	2001-01-23	Talieh
	35	6171352	2001-01-09	Lee, et al.
	36	6117783	2000-09-12	Small, et al.
	37	6103096	2000-08-15	Datta
	38	6099604	2000-08-08	Sandhu, et al.
	39	6083840	2000-07-04	Mravic, et al.
	40	6063306	2000-05-16	Kaufman, et al.
	41	6066030	1999-08-15	Datta

Signature

Examiner Name	Date